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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/763,642	01/22/2004	David Howell	2103042-991100

26379
GRAY CARY WARE & FREIDENRICH LLP
2000 UNIVERSITY AVENUE
E. PALO ALTO, CA 94303-2248

CONFIRMATION NO. 2449
FORMALITIES LETTER

OC000000012941929

Date Mailed: 06/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/20/2004 HLE333 00000041 10763642

01 FC:2051

FILED UNDER 37 CFR 1.53(b)

65.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1); See Figure(s) 3,8,9,10,13.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the complete mailing or post office address of each inventor.
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Huygk
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket No.: 2103042-991100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Howell

Serial No. 10/763,642

Group Art Unit: 2122

Filed: January 22, 2004

Examiner: Unknown

Title: SYSTEM AND METHOD FOR COLLECTION AND CONVERSION OF DOCUMENT SETS AND RELATED METADATA TO A PLURALITY OF DOCUMENT/METADATA SUBSETS

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents & Trademarks, Mail Stop Missing Parts, P.O. Box 1450, Alexandria, VA 22313-1450 on:

August 16, 2004
Date

Cathy Pittman
Cathy Pittman

* * *

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts dated June 14, 2004, applicant hereby submits the following:

- (1) Transmittal Form (1 pg.);
- (2) Response to Notice to File Missing Parts (2 pgs.);
- (3) Copy of Notice to File Missing Parts (2 pgs);
- (4) Declaration (2 pgs.);
- (5) Power of Attorney (1 pg);

- (6) Statement Under 37 CFR 3.73(b) w/copy of Assignment documents (3 pg);
- (7) Verified Statement Claiming Small Entity Status (2 pgs);
- (8) Submission of Replacement Drawings (1 pg);
- (9) Fourteen (14) sheets replacement drawings;
- (10) Fee Transmittal FY 2004;
- (11) Check No. 564779 for \$65.00;
- (12) Certificate of First Class Mailing (1 pg); and
- (13) Return postcard.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1896.

Please telephone the undersigned at (650) 833-2055, if there are any questions.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: August 16, 2004

By



Timothy W. Lohse

Attorney for Applicant

Reg. No. 35,255

GRAY CARY WARE & FREIDENRICH LLP

Attn: Patent Department
2000 University Street
East Palo Alto, CA 94303
Telephone: (650) 833-2055
Facsimile: (650) 833-2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Howell Filing Date: January 22, 2004
 Serial No: 10/763,642 Group Art Unit: 2811
 Docket No.: 2103042-991100 Examiner: Unknown
 Title: SYSTEM AND METHOD FOR COLLECTION AND CONVERSION OF
 DOCUMENT SETS AND RELATED METADATA TO A PLURALITY OF
 DOCUMENT/METADATA SUBSETS

COMMISSIONER FOR PATENTS
 Mail Stop Missing Parts
 P.O. Box 1450

Alexandria, VA 22313-1450

Verified Statement Claiming Small Entity Status

In the name of: SEATTLE BOOK COMPANY
 described in

the specification filed herewith
 application serial no. 10/763,642, filed January 22, 2004;
 patent no. _____, issued _____.

Small Business Concern Statement

I hereby declare that I am

the owner of the small business concern identified below:

an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: Seattle Book Company

Address of Concern: 14151 Brownsville Highway NE
 Poulsbo, WA 98370

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For



Attorney Docket No. 2103042-991100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

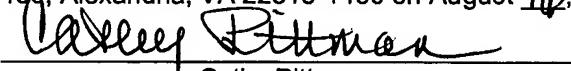
* * *

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

MAIL STOP MISSING PARTS
COMMISSIONER FOR PATENTS
P.O. BOX 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail on the date indicated above and is addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 10, 2004.



Cathy Pittman

Transmitted herewith for filing are the following documents for:

U.S. Utility Patent Application S/N: 10/763,642
FILED: January 22, 2004
INVENTOR(s): David Howell

Enclosed are:

1. Transmittal Form (1 pg.);
2. Response to Notice to File Missing Parts (2 pgs);
3. Copy of original Notice to File Missing Parts (2 pgs);
4. Declaration (2 pgs);
5. Power of Attorney (1 pg);
6. Statement Under 37 CFR 3.73(b) w/Assignment documents (3 pgs);
7. Verified Small Entity Statement (2 pgs);
8. Transmittal of Replacement Drawings (1 pg);
9. Fourteen (14) sheets replacement drawings;
10. Fee Transmittal FY 2004 (1 pg.);
11. Check No. 564779 for \$65.00;
12. Certificate of First Class Mail; and
13. Return Postcard;



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PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/763,642
		Filing Date	January 22, 2004
		First Named Inventor	David Howell
		Art Unit	2122
		Examiner Name	Unknown
Total Number of Pages in This Submission		Attorney Docket Number	2103042-991100

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Response to Missing Parts (2p); Copy of Missing Parts notice (2p); Declaration(2p); Power of Attorney (1p); Statement w/copy of Assignment docs(2p); Small Entity Declaration (2p);
Remarks Submission of Replacement drawings (1pg); Fourteen (14) replacement drawing sheets; Check No. 564779 for \$65.00; Certificate of Mailing (1 pg); Return postcard.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Gray Cary Ware & Freidenrich LLP Timothy W. Lohse
Signature	
Date	August 16, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop Missing Parts, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Cathy Pittman
Signature	
Date	August 16, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL****for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 65.00)

Complete if Known

Application Number	10/763,642
Filing Date	January 22, 2004
First Named Inventor	David Howell
Examiner Name	Unknown
Art Unit	2122
Attorney Docket No.	2103042-991100

METHOD OF PAYMENT (check all that apply)
 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number 07-1896
 Deposit Account Name Gray Cary Ware & Freidenrich LLP

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL(1) (\$)		0.00	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X	= 0
			-3** =	X	= 0
					=

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		0.00

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
1051	130	2051	65	Surcharge - late filing fee or oath	65	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
1053	130	1053	130	Non-English specification		
1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
1251	110	2251	55	Extension for reply within first month		
1252	420	2252	210	Extension for reply within second month		
1253	950	2253	475	Extension for reply within third month		
1254	1,480	2254	740	Extension for reply within fourth month		
1255	2,010	2255	1,005	Extension for reply within fifth month		
1401	330	2401	165	Notice of Appeal		
1402	330	2402	165	Filing a brief in support of an appeal		
1403	290	2403	145	Request for oral hearing		
1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1452	110	2452	55	Petition to revive - unavoidable		
1453	1,330	2453	665	Petition to revive - unintentional		
1501	1,330	2501	665	Utility issue fee (or reissue)		
1502	480	2502	240	Design issue fee		
1503	640	2503	320	Plant issue fee		
1460	130	1460	130	Petitions to the Commissioner		
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
1806	180	1806	180	Submission of Information Disclosure Stmt		
8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		
		1810	770	2810	385 For each additional invention to be examined (37 CFR 1.129(b))	
			1801	770	2801	385 Request for Continued Examination (RCE)
			1802	900	1802	900 Request for expedited examination of a design application
				Other fee (specify)		
				*Reduced by Basic Filing Fee Paid		
				SUBTOTAL (3) (\$)	65.00	

(Complete if applicable)

Name (Print/Type)	Timothy W. Lohse	Registration No. (Attorney/Agent)	35,255	Telephone	650-833-2055
Signature		Date	August 16, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Howell

Serial No.: 10/763,642 Group Art Unit: 2122

Filed: January 22, 2004 Examiner: Unknown

Title: SYSTEM AND METHOD FOR COLLECTION AND CONVERSION OF DOCUMENT SETS AND RELATED METADATA TO A PLURALITY OF DOCUMENT./METADATA SUBSETS

TRANSMITTAL OF REPLACEMENT DRAWINGS

Commissioner for Patents
 Mail Stop No-Fee Amendment
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are fourteen (14) sheets of replacement drawings for the above-identified application.

Please address all future communications regarding this application to:

Timothy W. Lohse
 GRAY CARY WARE & FREIDENRICH LLP
 2000 University Avenue
 East Palo Alto, CA 94303

Please direct all telephone calls to Timothy W. Lohse at (650) 833-2055.

Dated: August 16, 2004

GRAY CARY WARE & FREIDENRICH LLP

By



Timothy W. Lohse
 Reg. No. 35,255
 Attorney for Applicant

GRAY CARY WARE & FREIDENRICH LLP
 ATTN: Patent Department
 2000 University Avenue
 East Palo Alto, CA 94303
 (650) 833-2000